

# Quantum Ergodicity and $L$ -functions

## Problem Session and discussion 2

### Topics for discussion

1. What goes wrong if you try to use the approach of Luo–Sarnak for the measure  $d\mu_n = |u_n(z)|^2 d\mu(z)$ ?

### Exercises

1. Explain the proof of van der Corput's theorem below (from Graham–Kolesnik):

**Theorem 1.** *Suppose that  $f$  is real valued with two continuous derivatives on  $I = (a, b]$ . Moreover, suppose that for some constant  $\lambda > 0$  and some  $\alpha \geq 1$  we have*

$$\lambda \leq |f'(x)| \leq \alpha\lambda, \quad x \in I.$$

Then

$$\sum_{n \in I} e(f(n)) \ll \alpha|I|\lambda^{1/2} + \lambda^{-1/2}.$$

*Proof.* Let  $\delta < 1/2$  be a parameter to be chosen later. From the hypothesis, it follows that  $I$  can be split into  $\leq \alpha|I|\lambda + 2$  intervals on which  $\|f'\| \geq \delta$ , and  $\leq \alpha|I| + 1$  other intervals, each of length  $\leq 2\delta/\lambda$ . We apply the Kuzmin–Landau Theorem to the former set of intervals, and the trivial estimate to the latter. We get

$$\sum_{n \in I} e(f(n)) \ll (\alpha|I|\lambda + 1)(1/\delta + \delta/\lambda + 1).$$

We choose  $\delta = \lambda^{1/2}$ . This proves the desired bound if  $\lambda \leq 1/4$ . If  $\lambda > 1/4$ , the result follows from the trivial estimate.  $\square$

2. Let  $\mu(\sigma)$  denote the infimum of the set of real numbers  $\theta$  such that

$$|\zeta(\sigma + it)| \ll t^\theta.$$

- (a) Let  $\delta > 0$ . Directly prove that for  $\sigma \geq 1 + \delta$  and  $t \in \mathbb{R}$

$$\zeta(\sigma + it) \gg 1,$$

where the implied constant depends at most on  $\delta$ .

- (b) For  $\sigma = 1$  the proof of the prime number theorem due to Hadamard and de la Vallée Poussin gives

$$\zeta(1 + it) \gg \frac{1}{\log t}$$

for  $t \geq 2$ .

Directly prove  $\zeta(1 + it) \ll \log t$ ,  $t \geq 2$ . Explain why these results imply  $\mu(\sigma) = 0$  for  $\sigma \geq 1$ .

- (c) Use the functional equation

$$\zeta(s) = \pi^{s-\frac{1}{2}} \frac{\Gamma\left(\frac{1-s}{2}\right)}{\Gamma\left(\frac{s}{2}\right)} \zeta(1-s)$$

and Stirling's formula to show that  $\mu(\sigma) = 1/2 - \sigma$  for  $\sigma \leq 0$ .

*The following result is an analogue of the maximum modulus principle from complex analysis for unbounded regions.*

**Theorem** (Phragmén–Lindelöf) Let  $f$  be holomorphic in the region

$$\Omega = \{\sigma + it : \sigma_1 \leq \sigma \leq \sigma_2\}.$$

Suppose that for all  $\varepsilon > 0$  we have

$$|f(s)| \ll \exp(\varepsilon|t|), \quad \forall s \in \Omega.$$

Assume that there exist constants  $c_1, c_2 > 0$  such that

$$|f(\sigma_1 + it)| \ll (1 + |t|)^{c_1}, \quad |f(\sigma_2 + it)| \ll (1 + |t|)^{c_2}.$$

Then

$$|f(\sigma + it)| \ll (1 + |t|)^{c(\sigma)}, \quad \forall s = \sigma + it \in \Omega,$$

where  $c(\sigma)$  is a linear function of  $\sigma$  with  $c(\sigma_1) = c_1$  and  $c(\sigma_2) = c_2$ .

- (d) Use the above theorem to show that  $\mu(\sigma)$  is a convex function. Deduce that

$$\mu(1/2) \leq \frac{1}{4},$$

i.e.  $|\zeta(1/2 + it)| \ll t^{1/4+\varepsilon}$ . This is called the *convexity bound*.